



# STATE OF CONNECTICUT

## STATE ETHICS COMMISSION

June 15, 2005

### **PRESS RELEASE**

Yesterday, the State Ethics Commission and Frank Resnick entered into the attached Stipulation and Order. The Stipulation and Order fully resolves a complaint filed in December, 2004 against Mr. Resnick by Ethics Commission Principal Attorney Alice M. Sexton. The Complaint alleges that Mr. Resnick, the former Chief Financial Officer of Central Connecticut State University (CCSU), received several gifts from Compass Group in violation of Conn. Gen. Stat. §1-84(m) of the Code of Ethics for Public Officials.

In particular, the Complaint alleges that Compass Group is the parent company of two unincorporated divisions, Chartwells and Canteen, both of which were doing business with CCSU during May, June and July, 2004. Additionally, during that time, Chartwells was involved in the negotiation of a new food service contract with CCSU. The complaint alleges that Compass, through one or more of its divisions, provided Mr. Resnick with entrée to one or more golf events over the course of the spring and summer of 2004. The complaint further alleges that Mr. Resnick did not reimburse Compass for his admission to said golf events.

As a result of the Stipulation and Order, Mr. Resnick is required to pay a civil penalty of \$2,500 to the State Ethics Commission.

FOR FURTHER INFORMATION, CALL:

Alice M. Sexton  
Principal Attorney  
(860) 566-4472 x 305  
or

Hugh C. Macgill  
Chairman, State Ethics Commission  
(860) 570-5484



# STATE OF CONNECTICUT

## STATE ETHICS COMMISSION

### CONFIDENTIAL

DOCKET NUMBER 2004-7:  
IN THE MATTER OF A  
COMPLAINT AGAINST  
FRANK RESNICK

STATE ETHICS COMMISSION  
20 TRINITY STREET  
HARTFORD, CT 06106  
JUNE 13, 2005

### STIPULATION AND ORDER

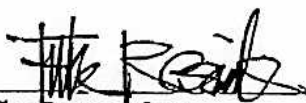
Pursuant to Connecticut General Statutes §4-177(c), the State Ethics Commission ("Commission") and Frank Resnick ("the Respondent") agree to settle this matter in the manner described below:

1. WHEREAS, on December 2, 2004, the Commission filed a Complaint against the Respondent alleging that he violated the code of Ethics for Public Officials, Conn. Gen. Stat. Sec. 1-79 *et seq.*; and
2. WHEREAS, the Respondent denies that he violated the Code of Ethics and states that he believed in good faith that his receipt of the benefits conferred upon him by Compass Group USA, Inc. ("Compass") in relation to charitable golf tournaments met the requirements of the exception to the definition of the term gift in Conn. Gen. Stat. §1-79(e)(14) for admission to a charitable or civic event. Moreover, the Respondent indicates that he extended reciprocal invitations to play golf, at Respondent's expense, to certain managers of Compass who he considered to be social and personal friends and/or acquaintances, and that his acceptance of invitations extended by Compass therefore does not meet the statutory definition of gift.
3. WHEREAS, the Commission finds that the Respondent violated the code of Ethics for Public Officials, Conn. Gen. Stat. §1-79 *et seq.* as alleged in the Complaint.
4. WHEREAS, the parties agree that this Stipulation constitutes the settlement of a disputed matter. It shall not be deemed an admission of wrongdoing on the part of the Respondent.

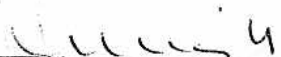
5. WHEREAS, the Respondent waives any rights he may have under Conn. Gen. Stat. §§1-93, 1-93a, 1-98 and 1-80, including the right to a hearing or any appeal in this case, and agrees with the Commission to an informal disposition of this matter.
6. WHEREAS, this Stipulation and Order concludes the Commission's investigation into the conduct of the Respondent.

NOW THEREFORE, and in consideration of the above, pursuant to Conn. Gen. Stat. §4-177(c), the State Ethics Commission enters, and the Respondent agrees to, the following orders in lieu of any other action regarding this matter:

1. The Respondent shall pay a civil penalty of \$2500.<sup>00</sup> to the Ethics Commission within thirty (30) days of the signing of this Stipulation and Order by all parties;
2. The Respondent shall reasonably cooperate with the Ethics Commission in any investigations now pending or which may be brought concerning any of the eight matters referenced in the Complaint (or similar golf events of which he was a beneficiary during the preceding three years), and said cooperation shall be made known by the Ethics Commission at the request of the Respondent to any contracting authority of the State of Connecticut.
3. In the event Respondent hereafter holds a position as a Public Official in the state of Connecticut, he shall comply with the Codes of Ethics for Public Officials.

  
\_\_\_\_\_  
The Respondent  
Frank Resnick

6-13-05  
Date

  
\_\_\_\_\_  
State Ethics Commission  
By:

15 June 2005  
Date

**CONFIDENTIAL**

**CONNECTICUT STATE ETHICS COMMISSION  
20 TRINITY STREET  
HARTFORD, CONNECTICUT 06106-1660**

**COMPLAINT**

The State Ethics Commission issues a complaint alleging a violation of:

  X   The Code of Ethics For Public Officials and  
State Employees, Chapter 10, Part I, General Statutes

       The Code of Ethics for Lobbyists, Chapter 10, Part II,  
General Statutes

Time and date matters complained of occurred: See attached complaint.

Place violation occurred: Connecticut, New York, Wisconsin, Massachusetts

Persons involved:     Compass Group USA, Inc. and employees thereof, and Frank  
Resnick

Witnesses: To be identified 10 days prior to any hearing held in this matter, pursuant to  
Conn. Gen. Stat. § 1-82.

Circumstances which indicate that the Code of Ethics designated above was violated are  
as follows (a short, plain statement alleging a violation of Chapter 10, General Statutes):

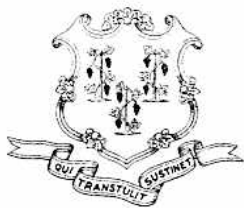
See attached complaint.

  
\_\_\_\_\_  
Signature

12/2/04  
\_\_\_\_\_  
Date

Complainant's Name and Address: State Ethics Commission  
20 Trinity St., Suite 205  
Hartford, CT 06106-1660

Complainant's Telephone Number: (860)566-4472



# STATE OF CONNECTICUT

## STATE ETHICS COMMISSION

Docket #  
changed to  
2004-7

### CONFIDENTIAL

DOCKET NUMBER 2004-5 : STATE ETHICS COMMISSION  
IN THE MATTER OF A : 20 TRINITY STREET  
COMPLAINT AGAINST : HARTFORD, CT 06106  
FRANK RESNICK : DECEMBER 2, 2004

### COMPLAINT

1. At all times relevant herein, the Respondent, Frank Resnick (hereinafter "the Respondent") was the Chief Financial Officer at Central Connecticut State University (hereinafter "Central") and a state employee as that term is used in the Code of Ethics for Public Officials, Conn. Gen. Stat. §1-79 *et seq.*
2. At all times relevant herein, Compass Group USA, Inc. (hereinafter "Compass"), by and through one or more of its divisions, including but not limited to Chartwells and Canteen, was doing business with Central as its food service contractor and its vending contractor, and seeking to do future business with Central as its food contractor.
3. At all times relevant herein, the Respondent knew or had reason to know that Compass was doing business with and seeking to do business with Central.
4. Connecticut General Statutes §1-84(m) prohibits a state employee from knowingly accepting, directly or indirectly, any gift, as defined in Conn. Gen. Stat. §1-79(e), from any person the employee knows or has reason to know is doing business with the official's department or agency.
5. Regulations of Connecticut State Agencies §1-92-54(c) requires that in order to avoid attribution of an item as directly and personally received, the recipient must either return the item or reimburse the donor within thirty days.

6. On one or more of the following occasions, the Respondent directly and personally received gifts, valued in excess of ten dollars each, from Compass in violation of Conn. Gen. Stat. §1-84(m), *to wit*:
- a. admission, and the benefits resulting therefrom, to the Worcester State College Alumni Scholarship golf tournament on or about May 21, 2004;
  - b. admission, and the benefits resulting therefrom including but not necessarily limited to golf shoes, to the Yale Golf Classic on or about June 10, 2004;
  - c. admission, and the benefits resulting therefrom, to a golf tournament at North Salem Country Club with Compass' Chartwell's Division President, Mark Simkiss, on or about May 3, 2004;
  - d. admission, and the benefits resulting therefrom, to the Roundball Golf Tournament hosted by Quinnipiac University's Athletics Department at The Tradition Golf Club in Wallingford, Connecticut on or about May 13, 2004;
  - e. admission, and the benefits resulting therefrom, to the President's Cup Pro-Am Golf Tournament at New Haven Country Club sponsored by Quinnipiac University's on or about June 21, 2004;
  - f. admission, and the benefits resulting therefrom, to the Owl Golf Classic at Racebrook Country Club in Orange, Connecticut, to benefit Southern Connecticut State University's athletics program, on or about July 12, 2004;
  - g. admission, and the benefits resulting therefrom, to a golf tournament in or around Milwaukee, Wisconsin in connection with the conference of the National Association of College and University Business Officers (NACUBO) on or about July 17, 2004;
  - h. admission and greens fees to Blackwolf Run in Kohler, Wisconsin on or about July 18, 2004.
7. The Respondent did not reimburse the donor the market value of any gift alleged in paragraph 6 within thirty (30) days of his receipt of said gift.

8. Each receipt by the Respondent of a gift valued at greater than ten dollars (\$10.00) at one time from a donor regulated by Conn. Gen. Stat. §1-84(m) without timely reimbursement is a violation of Conn. Gen. Stat. §1-84(m).

Dated at Hartford, Connecticut this 2<sup>nd</sup> day of December, 2004.



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Alice M. Sexton  
Principal Attorney  
State Ethics Commission  
18-20 Trinity Street, Suite 205  
Hartford, CT 06106  
Tel.: (860) 566-4472 x 305  
Fax: (860) 566-3806

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